香港證監會認可的持牌法團(中央編號:BJA907)
地址 ADDRESS:
香港上環德輔道中 308 號 24 樓 2405-06 室
24/F. 2405-06, 308 Des Voeux Road Central, Sheung Wan, Hong Kong
電話 Tel: +852 3018 4526
網站Website: https://www.usmart.hk
傳真Fax:+852 2501 0355
郵箱Email: cs@usmart.hk

SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)
證券交收指示 / 投資者交收指示

To uSmart Securities Limited: - Settlement Department:
致盈立證券有限公司 – 交收部:

Account No. 戶口號碼:		Account Name 客戶名稱:	

This is to authorise you to act in accordance with my/our following settlement instruction (SI) / Investment Settlement Instruction (ISI) through CCASS:-
茲授權 貴公司經中央結算執行本人/吾等以下之交收指示:-

☐	Please receive the following securities for my / our securities account maintained with uSmart Securities Limited 請將以下證券接收到本人/吾等於盈立證券有限公司開立之證券帳戶內
☐	Please deliver the following securities from my / our securities account maintained with uSmart Securities Limited 請將以下證券從本人/ 吾等於盈立證券有限公司開立之證券帳戶內交出

Details of Counterparty 交收對手資料:

☐ HK Equities 香港股票		☐ US Equities 美國股票	
Name of CCASSS Participant 中央結算系統參與者名稱		DTC Account Name 美國存管信托公司賬戶名稱	
CCASS Participant No. 中央結算系統參與者編號		DTC Participant No. DTC 參與者的編號	
Account No. 帳戶號碼		Account No. 帳戶號碼	
Contact Person & Number 聯絡人姓名及電話		Value Date 執行日期	

Stock Code 股票編號	Stock Name 股票名稱	Quantity 股票數量	Method of Settlement 交收方式		For official use only 內部專用	
			FOP 無需付款	DVP 貨銀對付 $HKD	SI Input No.	SI Input Fee
			☐	☐ $HKD		
			☐	☐ $HKD		
			☐	☐ $HKD		

☐	This SI/ISI involves no change in beneficial ownership. 以上交收指示並不牽涉實益擁有人之變更。
☐	There is change in beneficial ownership of the above-mentioned stocks through this SI/ISI. I/We here provide the original copy of Stamped Bought and Sold notes as supporting. 以上交收指示牽涉實益擁有人之變更。現附上已繳付政府印花稅之買賣單據作為依據。

Date 日期:	Client Signature(s) 客戶簽署:	Verified By

For Internal Use Only (內部專用

Handled By(CS/Set)	Reviewed By (CD/Fin)	Approved By (R.O)	Inputted By	Checked By
	/			