

SETTLEMENT INSTRUCTION (SI) / INVESTOR SETTLEMENT INSTRUCTION (ISI)

證券交收指示 / 投資者交收指示

To uSmart Securities Limited: - Settlement Department:

致盈立證券有限公司 – 交收部:

Account No. 戶口號碼:		Account Name 客戶名稱:				
This is to authorise you to act in accordance with my/our following settlement instruction (SI) / Investment Settlement Instruction (ISI) through CCASS:-						
茲授權 貴公司經中央結算執行本人/吾等以下之交收指示:-						
☐	Please receive the following securities for my / our securities account maintained with uSmart Securities Limited 請將以下證券接收到本人/吾等於盈立證券有限公司開立之證券帳戶內					
☐	Please deliver the following securities from my / our securities account maintained with uSmart Securities Limited 請將以下證券從本人/吾等於盈立證券有限公司開立之證券帳戶內交出					
Details of Counterparty 交收對手資料:						
☐ HK Equities 香港股票			☐ US Equities 美國股票			
Name of CCASS Participant 中央結算系統參與者名稱		DTC Account Name 美國存管信托公司賬戶名稱				
CCASS Participant No. 中央結算系統參與者編號		DTC Participant No. DTC 參與者的編號				
Account No. 帳戶號碼		Account No. 帳戶號碼				
Contact Person & Number 聯絡人姓名及電話		Value Date 執行日期				
Stock Code 股票編號	Stock Name 股票名稱	Quantity 股票數量	Method of Settlement 交收方式		For official use only 內部專用	
			FOP 無需付款	DVP 貨銀對付 \$HKD	SI Input No.	SI Input Fee
			☐	☐ \$HKD		
			☐	☐ \$HKD		
			☐	☐ \$HKD		
☐	This SI/ISI involves no change in beneficial ownership. 以上交收指示並不牽涉實益擁有人之變更。					
☐	There is change in beneficial ownership of the above-mentioned stocks through this SI/ISI. I/We here provide the original copy of Stamped Bought and Sold notes as supporting. 以上交收指示牽涉實益擁有人之變更。現附上已繳付政府印花稅之買賣單據作為依據。					
Date 日期:		Client Signature(s) 客戶簽署:			Verified By	
For Internal Use Only (內部專用)						
Handled By(CS/Set)		Reviewed By (CD/Fin)		Approved By (R.O)		Checked By
		/				